



EUROPEAN COMMISSION

ANNEX D

REF N°:

PROJECT TITLE:

THE COHESION FUND - 2000-2006

APPLICATION FOR ASSISTANCE

COUNCIL REGULATION (EC) N° 1164/94 of 16 May 1994
COUNCIL REGULATION (EC) N ° 1264/99 of 21 June 1999
COUNCIL REGULATION (EC) N ° 1265/99 of 21 June 1999

ENVIRONMENT AND TRANSPORT

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THE COHESION FUND

IMPORTANT NOTICE APPLICATION FOR ASSISTANCE

- ⊕ Use this application form in the case of:
 - a single project;
 - a stage of a project which is technically and financially independent;
 - a group of projects linked to a visible strategy and forming a coherent set.
- ⊕ The application form and Annexes I, II and III (Part A or B) must be completed in all cases. Annex I a) should be completed when required.
- ⊕ One original and nine copies¹ of the form should be submitted to:

*European Commission
Directorate General REGIO
Directorate E
Rue de la Loi, 200 - B 1049 Brussels.*
- ⊕ All financial information must be given in Euro.
- ⊕ The application must be accompanied by a full report describing the project and its anticipated effects, and any other information relevant to its assessment, including:
 - feasibility or preparatory studies;
 - maps showing the location of the project(s);
 - cost-benefit and financial analysis;
 - environmental impact documentation (see Annex I);
 - public procurement documentation.
- ⊕ In order to facilitate the assessment of projects, summaries of technical documentation may be provided.
- ⊕ **An electronic version of this application form is available in [www.inforegio.cec.eu.int].**

¹ It is also possible to deliver one certified original and one diskette, CD-Rom or to transmit the document by E-mail in a compatible format (Rich Text Format or equivalent). Any non-digitalised material (maps, copies of documents, etc) should be transmitted with nine copies.

2. PROJECT TYPE AND LOCATION

2.1 Sector

61 - Environment

65 - Transport

2.1.1 Environmental domain

Water treatment and supply

Waste water treatment

Waste management

Other (please specify)

2.1.2 Transport domain

Road

Rail

Port

Airport

Others (please specify)

2.2 Project Type

Single project

Stage of a project

Group of projects

Other (specify)

2.3 Location of project(s)

Project number	Member State (NUTS I)	Region (NUTS II)	County (NUTS III)	Municipality
1				
2				
3				
4				
...				
<i>Add rows if necessary</i>				

3. PROJECT OBJECTIVES

3.1 Explain the objectives of the project / stage of project / group of projects (see also Annexes III Part A or B)

3.1.1 Describe the relevant overall strategy and explain how the project(s) will contribute to its implementation.

.....

Use more space if necessary

3.1.2 Explain the main problems detected and to which extent the project, the stage of project or the group of projects contributes to their resolution

.....

Use more space if necessary

3.1.3 Fully describe objectives and targets

(e.g. in the case of transport projects specify bottlenecks to be tackled, planned increase in capacity, improvement in traffic speed or service levels, national and international traffic growth or other problems to be resolved; in the case of environmental projects specify the problems to be solved, population served, quality improvements, reductions of pollution, etc.)

.....

Use more space if necessary

3.1.4 Outline the coherence of the project with other’s interventions

.....

Use more space if necessary

3.2 Explain briefly the possible alternatives considered for the solution of the main problems detected, which are intended to be solved by the project(s), and give the reasons for not pursuing these alternatives.

.....

Use more space if necessary

3.3.1 Principal quantified objectives

Fill the table when possible

Project number	Objective 1: Unit used:	Objective 2: Unit used:	Objective 3: Unit used:	Objective 4: Unit used:
1				
2				
3				
...	<i>Add rows and columns if necessary</i>			
Total				

3.3.2 Secondary quantified objectives

Fill the table when possible

Project number	Objective 1:	Objective 2:	Objective 3:	Objective 4:
	Unit used:	Unit used:	Unit used:	Unit used:
1				
2				
3				
...	<i>Add rows and columns if necessary</i>			
Total				

4. PROJECT DESCRIPTION

4.1 Please give a description of the overall project / stage of project / group of projects (see also Annexes III Part A or B)

- In the case of a stage of project describe the main project to which it relates, describe the stage or section concerned, how it relates to the complete project and why it can be considered technically and financially independent in its own right.
- In the case of a group of projects describe the overall characteristics of the group and give a full description of each project included in the group.
- Use quantified indicators (e. g. capacity of water supply/treatment plants, population served, level of treatment proposed, kms road/rail to be constructed/upgraded, structures - bridges, interchanges, tunnels, viaducts – to be constructed, equipment/supplies required, etc) by filling the box 4.3.1 and 4.3.2
- Use annexes and plans if necessary.

.....

Use more space if necessary

4.2 If the project is likely to have an important cross-border impact by virtue of its size and/or location tick the yes box and explain the reasons.

Yes No

.....

Use more space if necessary

4.3.1 Quantitative description: principal indicators

Project number	Indicator 1:	Indicator 2:	Indicator 3:	Indicator *:
	Unit used:	Unit used:	Unit used:	Unit used:
1				
2				
...	<i>Add rows and columns if necessary</i>			
Total				

**Use more indicators if necessary*

4.3.2 Quantitative description: secondary indicators

Project number	Indicator 1:	Indicator 2:	Indicator 3:	Indicator *:
	Unit used:	Unit used:	Unit used:	Unit used:
1				
2				
...	<i>Add rows and columns if necessary</i>			
Total				

**Use more indicators if necessary*

5. TIMETABLE

5.1 Timetable foreseen

Project number	Previous stages and studies(*)		Market procurement		Land acquisition		Construction	
	Start date	End date	Start date	End date	Start date	End date	Start date	End date
1	.../.../...	.../.../...	.../.../...	.../.../...	.../.../...	.../.../...	.../.../...	.../.../...
	Operational phase starts: .../.../...							
2	.../.../...	.../.../...	.../.../...	.../.../...	.../.../...	.../.../...	.../.../...	.../.../...
	Operational phase starts: .../.../...							
...	.../.../...	.../.../...	.../.../...	.../.../...	.../.../...	.../.../...	.../.../...	.../.../...
	Operational phase starts: .../.../...							
.....	<i>Add rows if necessary</i>							
Total group	.../.../...	.../.../...	.../.../...	.../.../...	.../.../...	.../.../...	.../.../...	.../.../...
	Operational phase starts: .../.../...							

(*) This phase includes: feasibility studies, cost/benefit analysis, financial analysis and environmental impact analysis.

5.2 Briefly explain the earlier phases of the project / stage of project/ group of projects already undertaken.

Project number	Phases description		Start date	End date
1	Phase 1		.../.../...	.../.../...
	Phase 2		.../.../...	.../.../...
	Phase 3		.../.../...	.../.../...
2	Phase 1		.../.../...	.../.../...
	Phase 2		.../.../...	.../.../...
	Phase 3		.../.../...	.../.../...
...	Phase 1		.../.../...	.../.../...
	Phase 2		.../.../...	.../.../...
	Phase 3		.../.../...	.../.../...
.....	<i>Add rows if necessary</i>			

5.3 In the case of a stage of a project, give the anticipated construction timetable and a description of other related stages.

Project number	Stage 1		Stage 2		Stage 3		Stage 4	
	Start date	End date	Start date	End date	Start date	End date	Start date	End date
1	.../.../...	.../.../...	.../.../...	.../.../...	.../.../...	.../.../...	.../.../...	.../.../...
	Description		Description		Description		Description	
.....	<i>Add rows and columns if necessary</i>							

6. COSTS OF PROJECT

6.1 Eligible Costs

in thousand Euro

Project number	Planning	Land acquisit.	Site prepar.	Building & Const.	Equip-ment	Technical Assist.	Publicity	Contin-gency	VAT or equivalent	Total
1										
2										
...										
Total										

Add rows if necessary

6.2 Expenditure before the application date* and/or identified as non eligible*

in thousand Euro

Project number	Planning	Land acquisit.	Site prepar.	Building & Const.	Equip-ment	Technical Assist.	Publicity	Contin-gency	VAT or equivalent	Total
1										
2										
...										
Total										

Add rows if necessary

* Refer to expenditure eligibility rules issued by the Commission (see Vademecum)

6.3 Total cost

in thousand Euro

Project number	Planning	Land acquisit.	Site prepar.	Building & Const.	Equip-ment	Technical Assist.	Publicity	Contin-gency	VAT or equivalent	Total
1										
2										
...										
Total										

Add rows if necessary

6.4 Value Added Tax

If the organisation responsible for implementation is not subject to VAT (you filled in "NO" in §1.4.7) please give the legal basis for the exemption.

.....
Use more space if necessary

If the organisation responsible for implementation is subject to VAT and it can not recover totally or partially the amount of the VAT paid, please explain the situation and give the legal basis applied to each particular case. Give the VAT percentage not recovered.

.....
Use more space if necessary

6.5 Eligible cost by year

in thousand Euro

Project Number	2000	2001	2002	2003	2004	2005	2006	TOTAL
1								
2								
...								
Total								

6.6 Financial Plan (see next page)

(based on the foreseen expenditure to be incurred by the organisation responsible for implementation)

FINANCING PLAN

Project: TITLE
No: Number

Euro

Year	Public Expenditure or equivalent that meets the eligibility criteria for the Cohesion Fund								Community Loans <i>(for information purposes only)</i>
	TOTAL	Cohesion Fund		National Authorities				Other	
			%	<i>Total</i>	%	<i>Central</i>	<i>Other</i>		
	[1]=[2]+[4]+[8]	[2]	[3]=[2]/[1]	[4]=[6]+[7]	[5]=[4]/[1]	[6]	[7]	[8]	
1999	0	0	85	0	15	0	:	:	:
2000	0	0	85	0	15	0	:	:	:
2001	0	0	85	0	15	0	:	:	:
2002	0	0	85	0	15	0	:	:	:
2003	0	0	85	0	15	0	:	:	:
2004	0	0	85	0	15	0	:	:	:
2005	0	0	85	0	15	0	:	:	:
2006	0	0	85	0	15	0	:	:	:
Total [9]	0	0		0		0	:	:	:

[10]	0	Expenditures made before the application date [10]
[11]	0	Non – eligible costs [11]
Total Investment [12] = [9]+[10]+ [11]	0	
% Eligible Cost/ Total Investment [9] / [12]		

6.7 Estimate the proportion of total cost attributable to measures taken to reduce and/or compensate negative environmental impacts and describe the measures taken

Project number	% of project cost	Measures taken
1		
2		
3		
4		
...		
...	<i>Add rows if necessary</i>	
Total		

.....

Use more space if necessary

6.8 If the total eligible cost of the project or group of projects is less than 10 million Euro, tick the "yes" box and justify why the project should be considered for assistance

Yes No

.....

Use more space if necessary

7. FINANCIAL & ECONOMIC ANALYSIS

FINANCIAL ANALYSIS

All applications must be accompanied by a financial analysis, attached in annex, indicating:

- *description of methodology (with particular mention to the assumptions made);*
- *economic life of project;*
- *capital costs;*
- *the revenues generated (if any);*
- *the operating and maintenance costs over its lifetime;*
- *revenues generated over its lifetime;*
- *discounted cash flow (DCF) analysis;*
- *sensitivity analysis;*

7.1 Anticipated operational costs and incomes in the year after the project has become substantially operational.

Year

In thousand Euro

Project number	Estimated incomes (1)	Estimated operational costs (2)	% (2)/(1)	Net incomes (3) = (1) - (2)	Amortisation costs (4)	% (3)/(4)
1						
2						
...						
.....	<i>Add rows if necessary</i>					
Total						

7.2 Summary of the financial analysis made and calculation of the maximum rate of co-financing²

Discounted cash flow

In thousand Euro

Project number	Project life (years)	Financial rate of return WITH the Cohesion Fund Assistance (1)	Financial rate of return WITHOUT the Cohesion Fund Assistance (2)	Net Present Value of Investment (3)	Net Present Value of Net Revenues (4)	% (4)/(3)	% 1 - (4)/(3)
1							
2							
...							
.....	<i>Add rows if necessary</i>						
Total							

Please comment:

.....

.....

.....

.....

Use more space if necessary

² In no case should this percentage be higher than 85% of the eligible public expenditure.

7.3.a Describe the income foreseen in the financial analysis distinguishing between what is generated through tariffs or levies from what is generated by sale of products or other. Explain the method used in this calculation

.....
.....
.....
.....
use more space if necessary

7.3.b If income is generated through tariffs or levies , explain if they differ between various users.

.....
.....
.....
.....
Use more space if necessary

7.3.c If income is generated through tariffs or levies explain if they are proportional to the depreciation of the project / real consumption and / or to the pollution generated by users.

.....
.....
.....
.....
Use more space if necessary

7.4 Describe the costs foreseen in the financial analysis and explain the method used in their calculation.

.....
.....
.....
.....
Use more space if necessary

7.5 If the project is not generating income, explain what provisions have been made to cover operating and maintenance cost to ensure the viability of the project

.....
.....
.....
.....
Use more space if necessary

7.6 ECONOMIC ANALYSIS

All applications must be accompanied by a cost-benefit analysis, or other quantified economic analysis, such as cost effectiveness analysis or multi-criteria analysis. In the case of cost-benefit analysis, it should include the following:

- description of methodology;
- alternative options considered;
- direct and indirect costs and benefits in construction stage;
- direct and indirect costs and benefits in operational stage;
- key assumptions made in valuing costs and benefits;
- assessment of costs and benefits which cannot be fully quantified or valued;
- main beneficiaries of the project(s) and anticipated rate of utilisation;
- results of analysis expressed in terms of Internal Rate of Return, Net Present Value or benefit-cost ratios;
- assessment of risk and uncertainties (estimated effect of changes in main parameters);
- conclusions.

7.6.1 Main costs identified in the Cost-Benefits Analysis (CBA)

Project number	Cost 1:		Cost 2:		Cost :		TOTAL	
	Present Value	%	Present Value	%	Present Value	%	Present Value	%
1								
2								
3								
...								
.....	<i>Add rows and columns if necessary</i>							
Total								

7.6.2 Main benefits identified in the CBA

Project number	Benefit 1:		Benefit 2:		Benefit		TOTAL	
	Present Value	%	Present Value	%	Present Value	%	Present Value	%
1								
2								
3								
.....	<i>Add rows and columns if necessary</i>							
Total								

7.7 Main results of the CBA

Discount rate used

In thousand Euro

Project number	Economic Life	Net Present Value of Costs	Net Present Value of Benefits	Net Present Value	Internal Rate of Return	Benefit/Cost Ratio
1						
2						
3						
4						
5						
.....	<i>Add rows if necessary</i>					
Total						

Briefly comment the main conclusions of the CBA

.....

use more space if necessary

7.8 Give details of the main non-quantifiable / non valuable benefits and costs identified in the analysis

.....

use more space if necessary

7.9 If CBA has not been used, give reasons, describe the method of analysis used as well as the main conclusions of the analysis

.....
use more space if necessary

RISK AND SENSITIVITY ANALYSIS

7.10 Describe the main assumptions of the analysis and indicate the main results to changes in Internal Rate of Return (IRR) and in Net Present Values (NPV)

Parameter										(*)		
Project Number	Type of modification	I R R	N P V	Type of modification	I R R	N P V	Type of modification	I R R	N P V	Type of modification	I R R	N P V
1												
2												
3												
...	<i>Add rows if necessary</i>											
Total												

(*) *Add more relevant parameters if necessary*

Please comment:

use more space if necessary

8. FINANCIAL ASSISTANCE

8.1 Amount and rate of financial assistance requested

Project number	Eligible Cost (in Euro)	Amount of financial Assistance (in Euro)	Rate of Assistance Requested (%)
1			
2			
3			
4			
...			
.....	<i>Add rows if necessary</i>		
Total			

8.2 If the project duration is less than 2 years or the amount of the assistance does not exceed 50 millions Euro, is the grant requested as a single commitment?

Yes No

9. IMPACT ON EMPLOYMENT

9.1 Number of jobs created*

Project number	Construction Phase			Operational Phase	
	Direct jobs	Indirect jobs	Expected duration	Direct jobs	Indirect jobs
1					
2					
3					
4					
...					
.....	<i>Add rows if necessary</i>				
Total					

**In annual full-time equivalents*

10. COMPATIBILITY WITH COMMUNITY POLICIES

10.1 Public procurement

Project Number	Type of Contract	Brief description	Estimated Costs	Number of Contracts foreseen	Cost of contracts already awarded*	Number of Contracts awarded*
1	Studies Works Supplies Services					
2	Studies Works Supplies Services					
3	Studies Works Supplies Services					
.....						

Add rows if necessary

() Details for contracts already awarded, please fill the Annex II*

10.2 Concessions

Please attach a copy of the contract and give details of their essential elements (name of the contractor, legal status, duration of the contract, subject, etc.)

.....

Use more space if necessary

10.3 Competition

Project Number	Does the project involve a State Aid?		If YES, give details (e.g. if registered in a state aid scheme or in an ad hoc case, number of notification, reference of the letter of approval by the Commission, etc)
	Yes	No	
1			
2			
....			<i>Add rows if necessary</i>

10.4. Environment

10.4.1 Explain how the project contributes to the objectives of sustainable development

.....

Use more space if necessary

10.4.2 Explain how the project respects the polluter pay principle

If the project does not respect this principle, explain the reasons

Use more space if necessary

10.4.3 Consultation of the environmental authorities

Project Number	Have the environmental authorities concerned by the project been consulted?		If YES, give name and address of the authority and the result of the consultation. If NO, give the reasons
	Yes	No	
1			
2			
...			<i>Add rows if necessary</i>

10.4.4 Environmental Impact Assessment

Please complete for each project Annex I to this application form on Environmental Impact Assessment

10.4.5 If the project(s) envisage(s), apart from an Environmental Impact Assessment, any additional environmental integration measures (e.g. environmental audit, environmental management, specific environmental monitoring), tick the “Yes” box and specify the measure.

Project Number			If YES specify the measure(s)..
	Yes	No	
1			
2			
....			<i>Add rows if necessary</i>

10.5 Others

10.5.1 Please refer to any other Community policy of relevance to the project (e.g. agriculture, energy, etc) and explain the connection:

.....

Use more space if necessary

11. CONSISTENCY WITH OTHER MEASURES FINANCED BY THE COMMUNITY

11.1 Is this project complementary to any programme, project, or other form of intervention financed or to be financed by the Structural Funds?

Yes No

If yes, give details:
(In the case of projects previously financed by the structural funds indicate the independence of this project

.....
.....
.....
.....

Use more space if necessary

11.2 Has an application been made for financial assistance from any other Community source, including the EIB, for this project?

Yes No

If yes, please give details:
Financial instruments concerned, reference N°, dates, amounts requested, amounts granted, etc.

.....
.....
.....
.....

Use more space if necessary

12. MONITORING

12.1 Specify the indicators to be used for monitoring and assessing the physical progress of the project:

- (a) *Identify key indicators (eg. earth works, material used, main structures, equipment, pipelines, etc.)*
- (b) *Give estimated volume of work required to complete project.*

Indicator									(*)	
Project Number	Units used	Number of units	Units used	Number of units	Units used	Number of units	Units used	Number of units	Units used	Number of units
1										
2										
3										
4										
...										
.....	<i>Add rows if necessary</i>									
Total										

(*) *Add more relevant indicators if necessary*

13. ARRANGEMENTS FOR MANAGEMENT, MONITORING, CONTROL AND EVALUATION

13.1 Briefly explain the arrangements in place for the monitoring, financial control and evaluation of the project

.....
.....
.....
.....

Use more space if necessary

13.2 Specify if there are any special arrangements that apply to this project

(eg. project management, specific monitoring committee)

.....
.....
.....
.....

Use more space if necessary

14. PUBLICITY MEASURES

14.1 Give details of publicity foreseen for the project

Refer to guidelines on information and publicity (to be issued by the Commission)

Project Number	Type of Publicity and brief description	Expected duration (in months)	Estimated Costs of the campaign	Estimated date of start
1	On site notice(s) Newspaper TV ...			
2	On site notice(s) Newspaper TV ...			
3	On site notice(s) Newspaper TV ...			
.....	<i>Add rows if necessary</i>			

Comments if necessary

.....

Use more space if necessary

15.A ENVIRONMENT PROJECTS

The following questions are intended to determine the general eligibility of environment projects for assistance from the Cohesion Fund. (See also Annex III Part A)

15.A.1 Explain to which of the environmental objectives of Art. 174 of the Treaty of the European Community and objectives or themes of the 5th Environmental Action Programme the project relates.

Objectives / Themes	Comments
Preserving, protecting and improving the quality of the environment	
Protecting human health	
Prudent and rational utilisation of natural resources	
Promoting measures at international level to deal with regional or world-wide environmental problems	
Sustainable management of natural resources	
Integrated pollution control and prevention of waste	
Reduction in consumption of non-renewable energy	
Improved mobility management	
Improvement of environmental quality in urban areas	
Improvement of public health and safety	
Climate change	
Air quality	
Nature and biodiversity	
Urban environmental	
Waste management	
Coastal zones	
Water resources management	

15.A.2 Explain if the project is of a preventive or of a curative nature?

Preventive Curative

Explain briefly:

.....
.....
.....
.....

Use more space if necessary

15.A.3 Explain how the project is linked to the implementation of Community environmental legislation, referring to the specific Community Directive(s) or Regulation(s) involved

75/440/EEC (surface water quality)	
75/442/EEC, amended by 91/156/EEC (waste)	
91/676/EEC (nitrates)	
91/689/EEC (dangerous waste)	
91/271/EEC (urban waste water treatment)	
94/62/EEC (packaging waste)	
Other <i>(please specify)</i>	<i>Add rows if necessary</i>

15.A.4 Explain whether the project is consistent with a sectoral /integrated plan and programme associated with the implementation of Community policy or legislation mentioned in the previous point

.....
.....
.....
.....

Use more space if necessary

15.B TRANSPORT PROJECTS

The following questions are intended to determine the general eligibility of transport projects for assistance from the Cohesion Fund. (Annex III Part B should also be completed as appropriate according to the different modes of transport: roads or railways.)

15.B.1 Is the project included in the Trans-European transport network guidelines adopted by the Council and the European Parliament (Decision n° 1692/96/EC) ?

Yes No

- If yes, specify which network guidelines:

.....

Use more space if necessary

- If no, explain why the project should be considered for assistance:

.....

Use more space if necessary

15.B.2 Describe the specific contribution that the project is expected to make to the network guidelines in question

(Explain in particular the contribution to a corridor or a system)

.....

Use more space if necessary

15.B.3 Which other projects of common interest are located on the same transport corridor?

Title of the project	Estimated starting date	Estimated ending date	Estimated cost
A			
B			
...			
<i>Add more rows if necessary</i>			
Total			

15.B.4 What is the role of the project in the country's national transport policy?

(Refer to National Planning document (if appropriate) and specify priority assigned to project)

.....

.....

.....

Use more space if necessary

15.B.5 Data on current and estimated future traffic flows by user category

(Please fill in the following table for both the two scenarios (with/without project); adapt if appropriate ©)

Roads projects:

Year	AADT*	% Intern. Traffic	CATEGORY							
			Light vehicles		Heavy goods		Buses		Other	
			Nation.	Intern.	Nation.	Intern.	Nation.	Intern.	Nation.	Intern.
Existing										
Completion										
Compl. + 5										
Compl. + 10										
Compl. + 15										
Compl. + 20										

* AADT - Annual average daily traffic

Rail projects

YEAR	CATEGORY				OTHER	
	Passenger Man km		Goods Tm km		Total	% Intern.
	Total	% Intern.	Total	% Intern.		
Existing						
Completion						
Compl. + 5						
Compl. + 10						
Compl. + 15						
Compl. + 20						

Please comment on the main effects on present and potential future flows of international traffic, both within the Community and with third countries (e.g. Traffic volume variations, peak hour volumes, congestion periods, etc., existing and foreseen, with and without the project)

.....

.....

.....

Use more space if necessary

NAME and SIGNATURE:

AUTHORITY:

DATE/...../.....

STAMP:

ANNEXES

ANNEX I ENVIRONMENTAL IMPACT ASSESSMENT

(All parts to be completed for all projects)

1. Development consent

Has development consent³ already been given to this project?

Yes

No

If yes, on which date |__|__|__|

If no, when was the formal request for the development consent introduced |__|__|__|
and by which date is the final decision expected? |__|__|__|

Specify the **competent authority or authorities**, which has given or will give the development consent

.....
.....

2. Application of Directive on Environmental Impact Assessment (EIA)⁴

2.1. Is the project a class of development covered by:

- Annex I of Directive 85/337/EEC, as amended by Directive 91/11/EC (go to question 2.2)
- Annex II of Directive 85/337/EEC, as amended by Directive 91/11/EC (go to question 2.3)
- Not covered by Directive 85/337/EEC, as amended by Directive 91/11/EC (go to question 3)

2.2. When covered by Annex I of the EIA Directive include the necessary documents⁵.

³ i.e. decision of the competent authority or authorities which entitle the developer to proceed with the project

⁴ Directive 85/337/EEC on the assessment of the effects of certain public and private projects on the environment (OJ L 175 of 5.7.1985) as amended by Directive 97/11/EC (OJ L 73 of 3.3.1997).

⁵ Necessary documents are:

- a) the non-technical summary of the Environmental Impact Study carried out for the project;
- b) the results of consultations of the competent environmental authorities;
- c) the results of consultations with the public concerned.
- d) in the case of projects where the formal request for the development consent (point 1.1 above) was introduced after 14 March 1999: the information referred to in article 9.1 of the Directive 85/337/EC as amended by article 11 of Directive 97/11/EC.

Note : In relation to b) and c) these may be represented in the form of a statement, conclusion or certification by the competent environmental authorities, indicating in what way the concerns of the designated consultees and concerned public have been taken into account

2.3. When covered by Annex II of the EIA Directive has an Environmental Impact Assessment been carried out for this project?

Yes No

- If **yes** include the necessary documents (see footnote 4).
- If **no** explain the reasons and give the thresholds, criteria or case by case examination carried out to reach the conclusion that the project has no significant environmental effects:

.....
.....
.....
.....

Use more space if necessary

3. ASSESSMENT OF EFFECTS ON NATURA 2000 SITES

Will the project have significant negative effects on sites included or intended to be included in the Natura 2000 network⁶ ?

Yes No

- If **yes**, please attach a copy of the completed form including information on projects significantly affecting *Natura 2000* sites⁷, as notified to the Commission (DG Environment) under Directive 92/43/EEC
- If **no**, please complete declaration in Annex I(a)

⁶ These sites comprise:

- a) Special Protection Areas classified or requiring classification under the "Birds" Directive (79/409/EEC, OJ L103 of 25.4.79) and
- b) Sites proposed or requiring proposal by Member states under Article 4(1) of the "Habitats" Directive (92/43/EEC, OJ L206 of 22.7.92).

⁷ Document no. 99/7-rev2 adopted by the Habitats Committee (Member States' representatives established under Directive 92/43/EEC) at its meeting of 4 October 1999

**ANNEX I (a) DECLARATION BY THE AUTHORITY RESPONSIBLE FOR MONITORING
NATURA 2000 SITES**

Responsible Authority.....

Having examined⁸ the project application

(title).....

which is to be located at

we declare that (tick the appropriate box):

- The project is not likely to have significant effects on a Natura 2000 site on the following grounds:

.....
.....

Therefore an appropriate assessment required by Article 6 (3) was not deemed necessary.

- Following an appropriate assessment, according to Art. 6(3) of Directive 92/43/EEC, the project will not have significant negative effects on a Natura 2000 site.

A map at scale of 1:100.000 (or the nearest possible scale) is attached, indicating the location of the project as well as the Natura 2000 sites concerned, if any.

Signed: (Authority responsible for monitoring Natura 2000 sites)

Official Seal:

⁸ taking into account the requirements of Art. 6(3) of Directive 92/43/EEC

ANNEX II MONITORING OF PUBLIC PROCUREMENT TENDER PROCEDURES

*This annex must be sent together with the application for assistance.
During the execution phase of the project it should be periodically up-dated and transmitted to the Commission*

Available information date (DD/MM/YY)

Project Number	Type of Contract	Brief description	Amount of the contract	Attribution procedure used (1)	Date of publication of market notice (2)	Date of the signature of the contract (3)
1	Works	Contract 1				
		Contract 2				
		Contract ...				
	Supplies	Contract 1				
		Contract 2				
		Contract ...				
	Services (including studies)	Contract 1				
		Contract 2				
		Contract ...				
.....					

Add as many rows as necessary

- (1) For procedures "by mutual agreement" or "restricted" tender attach a justification for the choice of this procedure.
- (2) Send a copy of the publication in the OJ and a copy of the pre-information notice if published.
- (3) Send a copy of the attribution report and a copy of the post-information notice, if any.

ANNEX III

SPECIFIC INFORMATION TO BE PROVIDED ACCORDING TO THE NATURE OF THE PROJECT

Some of the information listed below is automatically covered by the headings of the questionnaire.

Where the relevant information is not provided in the main questionnaire, please give further details to allow the Commission to determine the eligibility of the project for assistance from the Cohesion Fund.

Member States are free to add any complementary information in the most appropriate format.

ANNEX III Part A: ENVIRONMENTAL PROJECTS IN THE FIELD OF WATER MANAGEMENT (WATER SUPPLY AND/OR WASTEWATER TREATMENT) AND SOLID WASTE

I. General information²

In order to determine the context in which the projects are proposed it is necessary to have the following information:

- Programme/plan to which the project or group of projects is linked (national, regional, local, catchment area) and where applicable the reference to the relevant environmental legislation)
- Details of the situation and localisation: project plan and schemes, map (1:100.000), sensitive areas, points of discharge;
- Summary containing current data (infrastructure/inventory of the overall water quality aspects in the area/region concerned), problems and the solution(s)/effects aimed at by the project;
- Indication of needs for vocational training if necessary;
- Feasibility studies and other aspects taken into account (hydrological, health etc.).

II. Specific technical information

A. Water supply

- Assessment of the situation;
- Quantification of water through the system;
- Quality of water at source and at delivery;
- Technical description (technology applied);
- Description of the existing networks, including % of leakage;
- Connection rate to the system (population);
- Water quality monitoring system;
- Management (planning/availability of (technical) personnel);
- The opinion of the other member states concerned in the case of major projects related to an international river.

B. Waste water treatment

1) Collection

- Technical description of the collecting system (design capacity in population equivalents and hydraulic terms, separate or combined system, stormwater overflows);
- Description of existing installations and their integration into the future system; population and/or industrial waste water already connected to collecting systems;
- Prevention of leakage;

- Monitoring system;

2) Treatment

- Waste water characteristics (urban, industrial, etc.);
- Design capacity in terms of pollution load (BOD5¹⁰ in accordance with Directive 91/271/EC) and in terms of hydraulic capacity
- Technical description of the treatment technology (primary, secondary or tertiary);
- Description of existing installations for waste water disposal and their integration into the future system;
- Monitoring system.

3) Receiving Water affected

- Description of receiving water, its characteristics and the quality objective to be achieved or maintained

4) Sludge

- Sludge handling treatment
- Sludge disposal

5) Management

- Planning/availability of (technical) personnel.

C. Waste management

1) General aspects

- Quantities and characteristics of the waste to be treated.
- Technical description of the technology applied.
- National or Regional Plan to which the facilities might be affected
- Description of existing installations and their integration into the future system;
- Management (planning/availability of (technical) personnel);
- Statement of the competent authorities on the conformity of the project in relation to the national and Community strategies as regards solid waste.
- Location of the project and maps to make possible a judgement on the location of the various facilities or components of the proposed waste management system.

2) Landfills

- Size of the landfill: surface and volume available.
- Indicate the technical characteristics of the landfill including, amongst other features, a description of the types and quantities of waste to be disposed, the possibilities of extension, expected life-time, the treatment of the methane emission (and its possible use) and the treatment of the leachates.
- Provide a description of the site, including its hydrological and geological characteristics as well as of the information allowing a judgement on the potential environmental impact.
- Information on the methods envisaged for the operation, monitoring and control of the landfill.
- Information on the methods envisaged for the closure of the landfill and of its control and maintenance after closure.

¹⁰ - use parameters in existing Community Directives

3) Composting facilities

- Description of the measures to be taken upstream in the collection system, in order to ensure a compost of acceptable quality by the market. Description of the processes of separate collection and treatment.
- Description of the composting method used, including characteristics of the output, as well as the final destination of the different types of waste (garden waste, organic, domestic, sludge from water waste treatment plant, livestock waste, etc.)

4) Transfer stations

- Number and location of the transfer stations according to the studies of optimisation carried out taking into account the distances to be covered as well as the roads to be used, the number and types of trucks, etc., (with a view to minimise the environmental and economic impact). The measures envisaged to avoid environmental contamination and to preserve the health and security of the workers.

5) Sorting centres

- Description of the various components of the transfer units, according to the waste channels envisaged; the type of sorting system (by hand, mechanical, chemical, mixed, etc.); the output of the proposed system in order to achieve the quantitative objectives of the existing waste management plan.

6) Closure of uncontrolled landfills

- Plan of closure of the existing uncontrolled landfills, with particular mention of the measures to control and monitor methane emissions, leachates, infiltration towards aquifers, rivers, etc.
- Final use of the site once it has been closed.

7) Incinerators

- Types of waste to be incinerated.
- Measures to be taken upstream in the collection system to improve the incineration and to avoid the presence of undesirable wastes.
- Description of the method and technology used, location, energy production and the quality control measures.
- Characteristics and disposal methods for the slags and ashes.

ANNEX III Part B: TRANSPORT PROJECTS

ROAD PROJECTS

1. Project description and location

Type of project:

New Upgrading Mixed

Length:
Number of lanes per direction:
Number of junctions (per type):
Bridges (length/width):
Tunnels (length/width):
Number of other major technical works:

2. Location

General map (locating project on corridor)
Detailed map (indicating the position of start and end (km), major technical works (km), adjacent villages/towns, etc.)

RAIL PROJECTS

1. Project description and location

Type of project:

New Upgrading Mixed

For upgrading specify:

For new lines, specify:

Electrification:
Track doubling or improvement:
Signalling modernisation:
Track gauge/loading gauge adaptation:
Elimination of level crossings:

Length:
Max. Speed:
Track/loading gauge:
Type of signalling system:
Electrification system:
Number of bridges:
Number of tracks:
Bridges (length/width):
Tunnels (length/width):
Number of other major technical works:

2. Location

General map (locating project on corridor). Detailed map (indicating the position of start and end (km), major technical works (km), adjacent villages/towns, etc.).